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jc921 U.S. PTO

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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>	<i>Attorney Docket Number</i>	21495-05942
	<i>First Named Inventor</i>	Jeffrey C. Hawkins
	<i>Title</i>	Navigating Through A Menu Of A Handheld Computer Using A Keyboard
	<i>Express Mail Label No.</i>	EL566204498US

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
3. <input checked="" type="checkbox"/> Specification <i>Total Pages</i> <input type="text" value="18"/> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> Descriptive Title of the Invention</li><li><input checked="" type="checkbox"/> Cross Reference(s) to Related Case(s)</li><li><input checked="" type="checkbox"/> Statement Regarding Fed sponsored R &amp; D</li><li><input checked="" type="checkbox"/> Background of the Invention</li><li><input checked="" type="checkbox"/> Brief Summary of the Invention</li><li><input checked="" type="checkbox"/> Brief Description of the Drawing(s)</li><li><input checked="" type="checkbox"/> Detailed Description</li><li><input checked="" type="checkbox"/> Claim or Claims</li><li><input checked="" type="checkbox"/> Abstract of the Disclosure</li></ul>	9. <input type="checkbox"/> Power of Attorney or Authorization of Agent
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. <i>Total Sheets</i> <input type="text" value="9"/> 113)	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
5. Oath or Declaration	11. <input type="checkbox"/> Preliminary Amendment
a. <input checked="" type="checkbox"/> New Declaration <i>Total Pages</i> <input type="text" value="3"/> <input type="checkbox"/> Executed (original or copy)	12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s)
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	14. <input checked="" type="checkbox"/> Return Postcard
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	15. <input type="checkbox"/>
	16. <input type="checkbox"/>
	17. <input type="checkbox"/>
<b>ADDRESS TO:</b> <b>Box Patent Application</b> <b>Commissioner for Patents</b> <b>Washington, D.C. 20231</b>	


18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

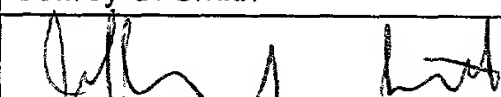
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number and Bar Code Label	 <b>00758</b>
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<i>Name (Print/Type)</i>	Jeffrey S. Smith	<i>Registration No. (Attorney/Agent)</i>	39,377
<i>Signature</i>		<i>Date</i>	10/12/01

21495/05942/DOCS/1214651.1

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U.S. Department of Commerce  
Patent and Trademark Office

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$)** 824.00

Application Number	Not yet known
Filing Date	October 12, 2001
First Named Inventor	Jeffrey C. Hawkins
Group Art Unit	Not yet known
Examiner Name	Not yet known
Attorney Docket Number	21495-05942

**FEE CALCULATION (continued)**

### 3. ADDITIONAL FEES

- ☒
- Check
- ☐
- Credit Card
- ☐
- Other

1. <b>FILING FEE</b>			
<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee</u>	<u>Fee</u>
<u>Fee</u>	<u>Fee Code/Fee</u>	<u>Description</u>	<u>Due</u>
<u>Code/Fee</u>			
101/\$740	201/\$370	Utility Filing	<b>740</b>
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	
<b>SUBTOTAL (1)</b>			<b>(\$ 740.00)</b>

<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description
103/ <b>\$18</b>	203/ <b>\$9</b>	Claims in excess of 20
102/ <b>\$84</b>	202/ <b>\$42</b>	Independent claims in excess of 3
104/ <b>\$280</b>	204/ <b>\$140</b>	Multiple dependent claim
109/ <b>\$84</b>	209/ <b>\$42</b>	Reissue independent claims over original patent
110/ <b>\$18</b>	210/ <b>\$9</b>	Reissue claims in excess of 20 and over original patent

<u>Large Entity</u>	<u>Small Entity</u>		
Fee Code/Fee	Fee Code/Fee	Fee Description	Fee Due
105/ <b>\$130</b>	205/ <b>\$65</b>	Surcharge - late filing fee or oath	<input type="text"/>
127/ <b>\$50</b>	227/ <b>\$25</b>	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>
147/ <b>\$2,520</b>	147/ <b>\$2,520</b>	For filing a request for reexamination	<input type="text"/>
115/ <b>\$110</b>	215/ <b>\$55</b>	Extension for response within first month <sup>†</sup>	<input type="text"/>
116/ <b>\$400</b>	216/ <b>\$200</b>	Extension for response within second month <sup>†</sup>	<input type="text"/>
117/ <b>\$920</b>	217/ <b>\$460</b>	Extension for response within third month <sup>†</sup>	<input type="text"/>
118/ <b>\$1,440</b>	218/ <b>\$720</b>	Extension for response within fourth month <sup>†</sup>	<input type="text"/>
128/ <b>\$1,960</b>	228/ <b>\$980</b>	Extension for response within fifth month <sup>†</sup>	<input type="text"/>
119/ <b>\$320</b>	219/ <b>\$160</b>	Notice of Appeal	<input type="text"/>
141/ <b>\$1,280</b>	241/ <b>\$640</b>	Petition to revive unintentionally abandoned application	<input type="text"/>
142/ <b>\$1,280</b>	242/ <b>\$640</b>	Utility Issue Fee (Or Reissue)	<input type="text"/>
143/ <b>\$460</b>	243/ <b>\$230</b>	Design Issue Fee	<input type="text"/>
122/ <b>\$130</b>	122/ <b>\$130</b>	Petitions to the Commissioner	<input type="text"/>
126/ <b>\$180</b>	126/ <b>\$180</b>	Submission of Information Disclosure Statement	<input type="text"/>
179/ <b>\$740</b>	279/ <b>\$370</b>	Request for Continued Examination (RCE)	<input type="text"/>
581/ <b>\$40</b>	581/ <b>\$40</b>	Recording each patent assignment per property (times number of properties)	<input type="text"/>
146/ <b>\$740</b>	246/ <b>\$370</b>	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
149/ <b>\$740</b>	249/ <b>\$370</b>	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>

Other fee (specify):

**SUBTOTAL (3)** (\$)  
0

(Col. 1)			(Col. 2)			(Col. 3)				
For	No. of Existing Claims		Highest No Previously Paid For			Extra**		Fee		Fee Due
TOTAL	16	minus*	20	or 0	=	0	x	0	=	0
INDEP	4	minus*	3	or 0	=	1	x	84	=	84
[ ] First presentation of multiple dependent claim									=	

**SUBTOTAL (2)** **(\$)** 84.00

**\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3**

Reg. Number	39.377
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Date \_\_\_\_\_

21495/05942/DOCS/1214562.1

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

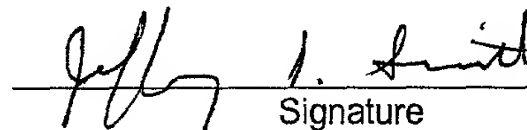
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Jeffrey C. Hawkins
	Title	Navigating Through A Menu Of A Handheld Computer Using A Keyboard
	Atty Docket Number	21495-05942

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10/12/01

Date



Signature

Jeffrey S. Smith/39,377

Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.